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Attn: Certificate of Correction Branch

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Of Counsel Edward J. Kessler Kenneth C. Bass III Marvin C. Guthrie

\*Admitted only in Maryland \*Admitted only in Virginia \*Practice Limited to Federal Agencies

WRITER'S DIRECT NUMBER: (202) 772-8587 INTERNET ADDRESS: PGARRETT@SKGF.COM

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Re:

U.S. Utility Patent No. 7,065,162 B1; Issue Date: June 20, 2006

(from Appl. No. 09/550,642; Filed: April 14, 2000)

For: Method and System for Down-Converting an Electromagnetic Signal,

and Transforms for Same

Inventors:
Our Ref:

SORRELLS *et al.* 1744.0920001

Sir:

Transmitted herewith for appropriate action are the following documents:

- 1. Request for Certificate of Correction under 37 C.F.R. §§ 1.322 and 1.323;
- 2. Fee Transmittal Form (PTO/SB/17);
- 3. Credit Card Payment Form (PTO-2038) authorized in the amount of \$100.00 to cover the Certificate of Correction filing fee;
- 4. One (1) page of Certificate of Correction Form (PTO/SB/44); and
- 5. One (1) return postcard.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

Certificate

NOV 1 7 2006

of Correction

Commissioner for Patents November 14, 2006 Page 2

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Patrick E. Garrett Attorney for Patentees Registration No. 39,987

PEG/Y-M/mlb Enclosures 607895\_1.DOC

PTO/SB/17 (01-06)

100.00

Under the Paperwork Reduction A	ct of 199:	5 no persons are requi	red to res	Approved for use through 07/31/2006. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE espond to a collection of information unless it displays a valid OMB control number						
The Proceedings				Complete if Known						
	_ '	Application Number 7		7, 065,162 B1 (Patent No.)						
FEE TRANSMITTAL				Filing Date Jun		June 20, 2006 (Iss	une 20, 2006 (Issue Date)			
For FY 2006				First Named Inventor		David F. SORRELLS				
				Examiner Name	,	Odom, Curtis B.				
Applicant claims small entity status. See 37 CFR 1.27				Art Unit	Ì	2634				
TOTAL AMOUNT OF PAYME	IT (\$	100.00		Attorney Docker	t No.	1744.0920001				
METHOD OF PAYMENT (check all that apply)										
Check X Credit Card Money Order None Other (please identify):										
X Deposit Account Deposit Account Number: 19-0036 Deposit Account Name: Sterne, Kessler, Goldstein & Fox P.L.L.C.										
For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)										
Charge fee(s) indicated below Charge fee(s) indicated below, except for the filing fee										
Charge any additional fee(s) or underpayments of fee(s)  X Credit any overpayments										
under 37 CFR 1.16 and 1.17 WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card										
Information and authorization on PTO-2038.										
FEE CALCULATION (All the fees below are due upon filing or may be subject to a surcharge.)										
1. BASIC FILING, SEARCH	ט בבבפ	EVA	MINATION FEES							
		Small Entity	SEAR	CH FEES Small Entity		<b>Small Entity</b>				
	ee (\$)	Fee (\$)	Fee (\$)		<u>Fee</u>		Fees Paid (\$)			
	300	150	500	250	200					
	200	100	100	50	130					
Plant	200	100	300	150	160	) 80				
Reissue	300	150	500	250	600	300				
Provisional	200	100	0	0	(	0				
2. EXCESS CLAIM FEES Small Entity Fee (\$) Fee (\$)										
Fee Description							<u>Fee (\$)</u> 25			
Each claim over 20 (including Reissues) Each independent claim over 3 (including Reissues)							100			
Multiple dependent claims						200 360	180			
	•					pendent Claims				
20 or HP =		×	=			Fee (\$)	Fee Paid (\$)			
HP = highest number of total clai										
		Fee	Paid (\$)							
- 3 or HP = x = HP = highest number of independent claims paid for, if greater than 3.										
3. APPLICATION SIZE FEE										
· - · · · · · · · · · · ·		1.100		/ 1 11	4	. 11 (21 1				

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50

sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets Extra Sheets Number of each additional 50 or fraction thereof Fee Paid (\$) **Total Sheets** Fee (\$) \_\_ / 50 = \_\_\_\_\_ (round up to a whole number) x 4. OTHER FEE(S) Fees Paid (\$) Non-English Specification, \$130 fee (no small entity discount)

SUBMITTED BY	111/	-) /	- 1				
Signature	Hall	Laud	X	Registration No. (Attorney/Agent)	39,987	Telephone	(202) 371-2600
Name (Print/Type)	Patrick E. Ga	rrett		-		Date	14/06

Other (e.g., late filing surcharge): Certificate of Correction fee

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent of:

SORRELLS et al.

Patent. No.: 7,065,162 B1

Issued: June 20, 2006

For: Method and System for Down-

**Converting an Electromagnetic Signal, and Transforms for Same**  Confirmation No.: 9236

Art Unit: 2634

Examiner: Curtis B. Odom

Atty. Docket: 1744.0920001

## Request for Certificate of Correction under 37 C.F.R. § 1.322 and 1.323

Attn: Certificate of Correction Branch

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Sir:

It is hereby requested that a Certificate of Correction under 37 C.F.R. §§ 1.322 and 1.323 be issued for the above-captioned United States Patent. This Certificate of Correction is being requested due to mistakes which appear in the printed patent. These mistakes were made by both the U.S. Patent and Trademark Office and by Applicants. The mistakes made by Applicants are of a clerical or typographical nature, or of a minor character. Patentees submit that correction of these errors does not introduce new matter.

Specifically, the printed patent contains the following errors for which a

11/15/2886 JADDO1 08900135 7865162

Certificate of Correction is respectfully requested: 01 FC:1811 180.68 OP

In item (56), under "Foreign Patent Documents", the citation for --DE 196 48 915 A1-- should be inserted.

In column 78, line 53, "FC+FIF" should be replaced with --FC+FIF--.

In column 169, line 53 (EQ. 116), "?" should be replaced with -- --.

In column 169, line 55 (EQ. 111), "?" should be replaced with -- --.

In column 170, line 21 (EQ. 119), "f(l)" should be replaced with --f(t)--

In column 183, line 20, "F? As phase shift" should be deleted.

In column 183, line 21, --F?As phase shift-- should be inserted before "angle".

In column 183, line 38 (EQ. 164), "S0(tk)Q-ASiQ(tk)+ $\tilde{n}$ Qk" should be replaced with --S0(tk)Q=ASiQ(tk)+ $\tilde{n}$ Qk--

In column 196, lines 33-34 should be replaced with --s=1 ps= $1\cdot10-1^{\circ}2$  ns= $1\cdot10-9$  µs= $1\cdot10-16$  MHz= $1\cdot106$  KHz= $1\cdot103--$ .

In column 196, line 44, "period40 ns" should be replaced with --period = 40 ns--.

In column 196, line 60, "8.333\_104" should be replaced with -- 8.333·104--.

In column 196, line 65, "(1 ns)\_time" should be replaced with --(1 ns)·time--.

In column 197, line 7, "(500 ps)\_time" should be replaced with --(500 ps)·time--.

In column 200, line 60 (EQ. 172), "(?ctF)"should be replaced with -- (?ct+F)--.

In column 202, line 60, "Aperture Width" should be replaced with -- Aperture\_Width--.

In column 203, line 50, "Aperture Width" should be replaced with -- Aperture\_Width--.

In column 204, line 61, "Aperture Width" should be replaced with -- Aperture\_Width--.

In column 206, line 12, "Aperture Width" should be replaced with -- Aperture Width--

## Remarks

The above-noted corrections do not involve such changes in the patent as would constitute new matter or would require reexamination.

A completed Form PTO/SB/44 accompanies this request, with the abovenoted corrections printed thereon. Accordingly, a Certificate of Correction is believed proper and issuance thereof is respectfully requested.

This request is accompanied by payment of the fee set forth in 37 C.F.R. § 1.20(a). Fee payment is provided in the attached Credit Card Payment Form (PTO-2038). The Commissioner is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNEN KESSLER, GOLDSTEIN & FOX P.L.L.C.

Patrick E. Garrett

Attorney for Patentees Registration No. 39,987

Date:

1100 New York Avenue, N.W. Washington, D.C. 20005-3934

(202) 371-2600 607901\_1.DOC

(Also Form PTO-1050)

## UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

PATENT NO.

7,065,162 B2

DATED

June 20, 2006

INVENTOR(S)

SORRELLS et al.

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

- In item (56), under "Foreign Patent Documents", the citation for --DE 196 48 915 A1-- should be inserted.
- In column 78, line 53, "FC+FIF" should be replaced with --FC+FIF--.
- In column 169, line 53 (EQ. 116), "?" should be replaced with -- --.
- In column 169, line 55 (EQ. 111), "?" should be replaced with -- --.
- In column 170, line 21 (EQ. 119), "f(l)" should be replaced with -- f(t)--.
- In column 183, line 20, "F?As phase shift" should be deleted.
- In column 183, line 21, --F? As phase shift-- should be inserted before "angle".
- In column 183, line 38 (EQ. 164), "S0(tk)Q-ASiQ(tk)+ñQk" should be replaced with
- $--SO(tk)O=ASiO(tk)+\tilde{n}Ok--$
- In column 196, lines 33-34 should be replaced with --s=1 ps=1·10-12 ns=1·10-9  $\mu$ s=1·10-16 MHz=1·106 KHz=1·103--.
- In column 196, line 44, "period40 ns" should be replaced with --period = 40 ns--.
- In column 196, line 60, "8.333 104" should be replaced with --8.333·104--.
- In column 196, line 65, "(1 ns) time" should be replaced with --(1 ns) time--.
- In column 197, line 7, "(500 ps) time" should be replaced with --(500 ps) time--.
- In column 200, line 60 (EQ. 172), "(?ctF)" should be replaced with --(?ct+F)--.
- In column 202, line 60, "Aperture Width" should be replaced with -- Aperture Width--.
- In column 203, line 50, "Aperture Width" should be replaced with -- Aperture Width--.
- In column 204, line 61, "Aperture Width" should be replaced with -- Aperture Width--.
- In column 206, line 12, "Aperture Width" should be replaced with -- Aperture Width--.

MAILING ADDRESS OF SENDER:

PATENT NO.

7,065,162 B2

Sterne, Kessler, Goldstein & Fox P.L.L.C. 1100 New York Avenue, N.W.

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This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria,

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